

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address, COMMISSIONER FOR PATENTS PO BOX 1450 Alexandria, Virginia 22313-1450 www.uppto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/549,352

Caroline Rachel Drake

70237USPCT

INTERNATIONAL APPLICATION NO.

22847 SYNGENTA BIOTECHNOLOGY, INC. PATENT DEPARTMENT 3054 CORNWALLIS ROAD

RECEIVED

PCT/GB04/01241 PRIORITY DATE I.A. FILING DATE

03/24/2003

NOV 0 6 2006

03/22/2004

P.O. BOX 12257 RESEARCH TRIANGLE PARK, NC 27709-2257 PATENT & LICENSING (RTP. NC)

SYNGENTA

**CONFIRMATION NO. 5103 371 FORMALITIES LETTER** 

\*OC00000021079114\*

Date Mailed: 11/03/2006

Due: 12/03/06 PONSE Docktru

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 09/14/2005
- Copy of the International Search Report filed on 09/14/2005
- Copy of IPE Report filed on 09/14/2005
- Preliminary Amendments filed on 09/14/2005
- Biochemical Sequence Diskette filed on 09/14/2005
- Oath or Declaration filed on 07/03/2006
- Biochemical Sequence Listing filed on 07/03/2006
- U.S. Basic National Fees filed on 09/14/2005
- Priority Documents filed on 09/14/2005
- Specification filed on 09/14/2005
- Claims filed on 09/14/2005
- Abstracts filed on 09/14/2005
- Drawings filed on 09/14/2005
- Paper nucleotide sequence listings filed on 09/14/2005

Applicant's response filed 07/03/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 05/02/2006 have not been completed.

• The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

C. Andrews

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/549,352	PCT/GB04/01241	70237USPCT

FORM PCT/DO/EO/916 (371 Formalities Notice)

Attorney Docket No. 70237USPCT U.S. Serial No. 10/549,352

## FILING BY "FIRST CLASS MAIL" UNDER 37 C.F.R. § 1.8

I hereby certify that the following correspondence is being deposited with the United States Postal Service as "First Class Mail" with proper postage in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 9, 2006.

- 1) Response to Notification of Defective Response (3 pages total)
- 2) Copy of Notification of Defective Response dated November 3, 2006
- 3) Computer Readable Form of the Corrected Sequence Listing
- 4) Paper Copy of the Corrected Sequence Listing
- 5) Return Postcard

Sandra Freeland		
Name		
Scdre	Fren	4
Signature		